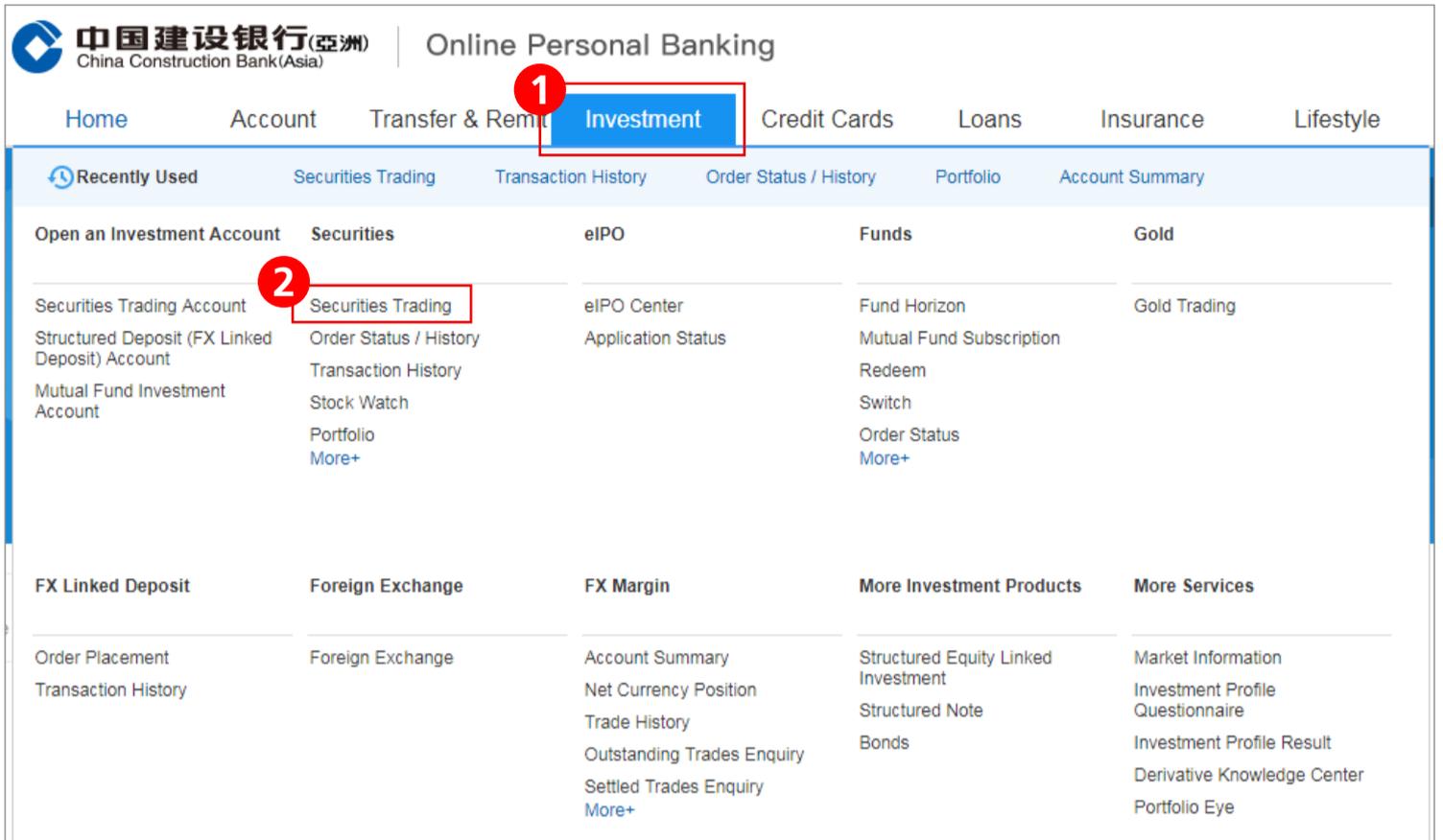


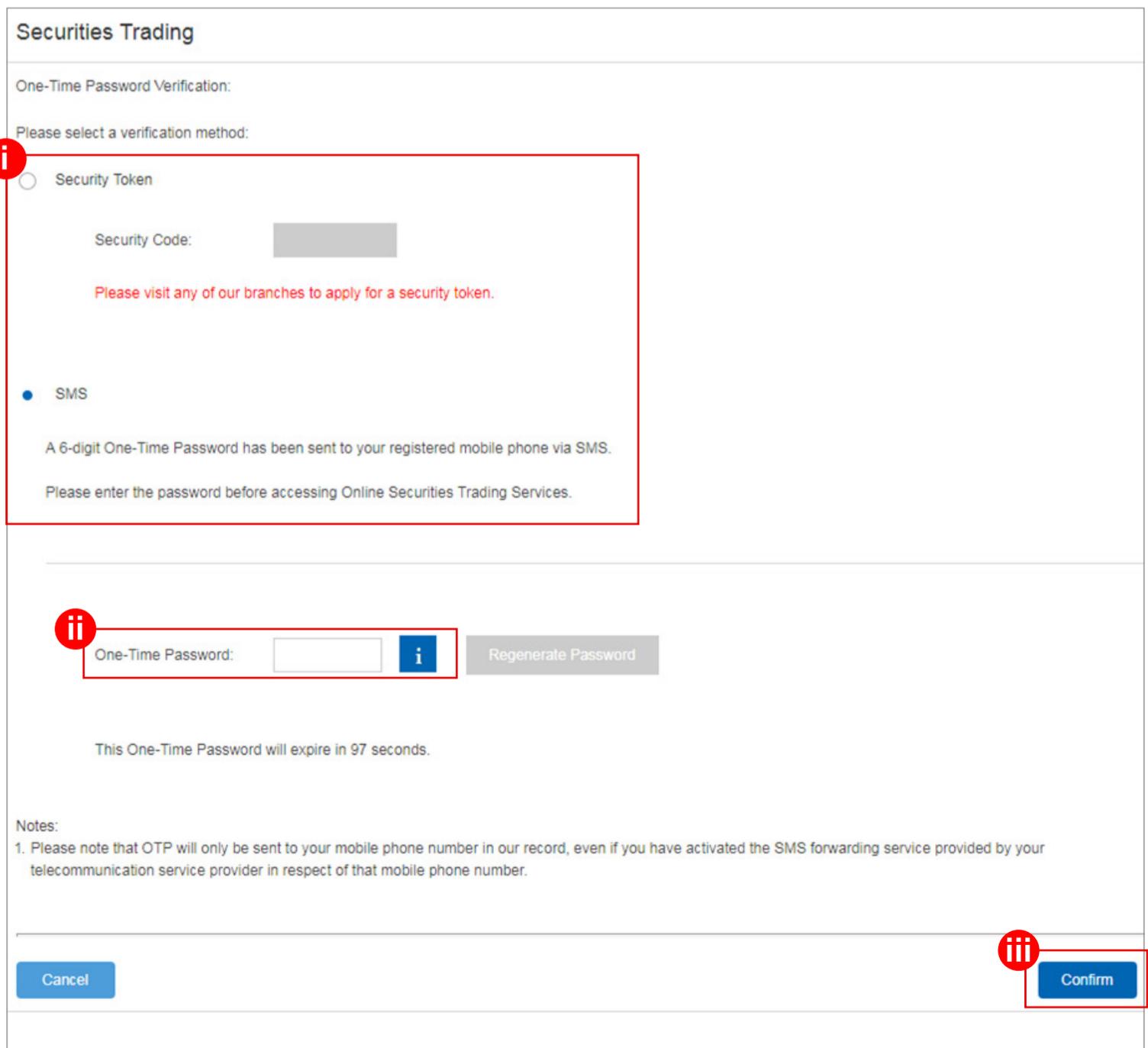
Security Trading Guide

Step 1 Log in Online Banking and select "Securities Trading" under the section of "Investment".



The screenshot shows the Online Personal Banking interface. The 'Investment' menu is highlighted with a red box and a circled '1'. Under the 'Investment' menu, the 'Securities Trading' option is highlighted with a red box and a circled '2'. The interface includes a navigation bar with 'Home', 'Account', 'Transfer & Remit', 'Investment', 'Credit Cards', 'Loans', 'Insurance', and 'Lifestyle'. Below the navigation bar, there are sections for 'Recently Used', 'Securities Trading', 'Transaction History', 'Order Status / History', 'Portfolio', and 'Account Summary'. The 'Securities Trading' section is expanded, showing options like 'Open an Investment Account', 'Securities Trading', 'eIPO', 'Funds', and 'Gold'. The 'Securities Trading' option is further expanded to show 'Securities Trading', 'Order Status / History', 'Transaction History', 'Stock Watch', 'Portfolio', and 'More+'.

Step 2 Select and enter Security Code or One-Time Password. Click "Confirm" to proceed to Securities Trading page.



The screenshot shows the 'Securities Trading' verification page. The 'One-Time Password Verification' section is highlighted with a red box and a circled 'i'. Below this section, there are two verification methods: 'Security Token' and 'SMS'. The 'SMS' method is selected with a blue dot. Below the 'SMS' method, there is a text box for the One-Time Password, a 'Regenerate Password' button, and a 'Confirm' button. The 'Confirm' button is highlighted with a red box and a circled 'iii'. The page also includes a 'Cancel' button and a 'Notes' section with a warning about OTP expiration.

One-Time Password Verification:

Please select a verification method:

- Security Token
- SMS

A 6-digit One-Time Password has been sent to your registered mobile phone via SMS.
Please enter the password before accessing Online Securities Trading Services.

One-Time Password:

This One-Time Password will expire in 97 seconds.

Notes:

- Please note that OTP will only be sent to your mobile phone number in our record, even if you have activated the SMS forwarding service provided by your telecommunication service provider in respect of that mobile phone number.

Security Trading Guide

Step 3 Select Trading Account, Buy/ Sell. Enter Stock Code, Price, Quantity, Order Types and Expiry Dates. Then, click "Next".

Hong Kong Market Shanghai Market Corporate Action

Limit Order Market Order Stop Loss Order

1 Trading Account: ▼

Settlement Account Available Cash: HKD 78,000,000.00
Balance*: RMB 6,000,210.00 [Refresh](#)

Total Purchasing Power**: HKD 78,000,000.00
RMB 6,000,210.00

2 Buy/Sell: Buy Sell

Stock Code: [Quote](#)
Streaming Quote ^

Price:

Quantity:

Order Type#: ▼ [Help](#)

Expiry Date##: ▼

All-or-Nothing:

3 [Next](#) [Clear](#)

List of Qualifying Securities under Securities Margin Trading Services
Important Notes on Dual Counter Securities Trading Model

Stock Code: [Quote](#) ▼ [Add to List](#)

Real-time information **Delayed information**

[Profile](#) [Broker](#) [Chart](#) [Pattern](#) [News](#) [Warrants/CBBC](#) [Short Sell](#)

[Refresh](#)

Nominal Price	Change(Amt)
Last Price	Change(%)
Bid	Ask
High	Low
Open	Prev Close
Volume	Turnover
Lot Size	Spread
EPS (In Cents)	PE
Dividend Yield	Currency
52wks high	52wks low
Bid	Ask

Transaction Log

Quote Counter 344 Quotes remaining

Information provided by AASTOCKS  disclaimer

Step 4 Preview Buy/Sell details. Then click "Confirm"; or click "Amend" to return to the previous page and amend information; or click "Cancel" to cancel the trading instruction.

Buy/Sell

① Specify Trading Instruction > **② Preview Details** > ③ Acknowledgement

Please Confirm

Market	Hong Kong
Trading Account:	000012345678
Buy/Sell:	Buy
Stock Code:	03101
Stock Name:	VANGUARDDEUR
Price:	HKD 0.488
Quantity:	100
Estimated Transaction Value: <small>(Including fees and charges)*</small>	HKD 148.80
Order Type:	Enhanced Limit Order
Expiry Date:	2019/07/08
All-or-Nothing:	No

Confirm
Amend
Cancel

Security Trading Guide

Step 5 After clicking "Confirm", the page will show that the instruction has been executed. You may save or print the relevant details. Then, click "OK" to complete the trading instruction.

Buy/Sell

① Specify Trading Instruction > ② Preview Details > ③ Acknowledgement



Your trading order is received.

Market	Hong Kong
Reference No.	000087296
Trading Account	000012345678
Buy/Sell	Buy
Stock Code	03101
Stock Name	VANGUARD DVEUR
Price	HKD 0.488
Quantity	100
Estimated Transaction Value (Including fees and charges)*	HKD 148.80
Order Type	Enhanced Limit Order
Expiry Date	2019/07/08
All-or-Nothing	No

Estimated Fees & Charges for reference

Commission	HKD	100.00
Trading Fee	HKD	0.00
Transaction Levy	HKD	0.00

The Bank will try its best to process your order but it may NOT be performed due to fluctuation in stock price, insufficient market liquidity or any event beyond the control of the Bank. Please check the latest status of this order in [Order Status](#).

② ↓ Save 🖨 Print

③ OK