

China Construction Bank (Asia) Corporation Limited
SCHEDULE OF SERVICE FEES FOR SECURITIES TRADING SERVICES

Effective January 1, 2024

SERVICES	Fees
SECURITIES TRADING SERVICES (HK Securities)	
Trade-related Services	
Brokerage †	
• Through automated channels* ‡ For personal and commercial account customers (except PRIVATE WEALTH) ‡ For PRIVATE WEALTH customers ‡ For corporate account customers	- 0.18% of transaction amount, min. HKD100/RMB83 - 0.10% of transaction amount, min. HKD100/RMB83 - 0.25% of transaction amount, min. HKD100/RMB83
• Through manned hotline ‡ For Package d Banking customers - PRIVATE WEALTH customers - PREMIER BANKING customers - Golden Years Banking customers - PREMIER BUSINESS customers - WISE BUSINESS customers - WISE BANKING customers ‡ Securities Margin Trading customers ‡ For personal, commercial and corporate account customers	- 0.18% of transaction amount, min. HKD100/RMB83 - 0.18% of transaction amount, min. HKD100/RMB83 - 0.18% of transaction amount, min. HKD100/RMB83 - 0.25% of transaction amount, min. HKD100/RMB83 - 0.25% of transaction amount, min. HKD100/RMB83 - 0.18% of transaction amount, min. HKD100/RMB83 - 0.25% of transaction amount, min. HKD100/RMB83
Deposit of Stocks (purchase transaction)	-NIL
Subscription of IPO	
• Brokerage • New Issues Handling Fee through automated channels* or Manned Hotline - PRIVATE WEALTH customers - Other Customers • Deposit of Stocks	- 1% of transaction amount - NIL - HKD50/RMB50 per application (non-refundable) - NIL
Securities Monthly Investment Plan Handling Fee	Flat HKD50 per Monthly Contribution per Plan (inclusive of brokerage fee, stamp duty, SFC transaction levy, AFRC transaction levy and trading fee)
Transaction Levy (collected by Securities and Futures Commission (SFC))	0.0027% of transaction amount
Transaction Levy (collected by Accounting and Financial Reporting Council (AFRC))	0.00015% of transaction amount (rounded to the nearest cent)
Investor Compensation Levy (collected by SFC if applicable)	0.002% of transaction amount
Trading Fee (collected by HKEx)	0.00565% of transaction amount (rounded to the nearest cent)
Stamp Duty (collected by HKSAR Gov't)	0.1% of transaction amount (rounded up to the nearest dollar)

SERVICES	Fees
Scrip Handling and Settlement-related Services	
Stock Settlement Fees for CCASS	NIL
Money Settlement Fee (collected by CCASS)	NIL
Scrip Handling and Settlement-related Services	
Deposit of Stocks	<u>For stock deposit by settlement instruction</u> NIL <u>For physical scrip deposit</u> HKD5/RMB5 per board lot*, min. HKD30/RMB30 and max. HKD200/RMB200
Settlement Instruction Fee	NIL
Transfer Deed Stamp Duty	HKD5 each transfer deed
Stock transfer fee (between counters)*	NIL
Account Maintenance	
Safe Custody Fee (applicable to both Cash Trading account and Margin Trading account)	HKD120 paid annually on May 31 (only applicable to the securities account with stock holdings on May 31. If May 31 falls on Saturday or Public Holiday, the Bank will debit the fee from your settlement account on the preceding business day)
Nominee Services and Corporate Actions	
Privatisation / Cash Offer / Collection of Dividend (Collection of Dividend Fee also applicable to Investors who opt for shares in lieu of cash dividend)	0.5% of transaction / dividend amount, min. HKD30/RMB30 and max. HKD2,000/RMB2,000 per stock
Share Bonus Issues, Rights/Open Offer Subscription, Warrants or Scrips in lieu of Cash Dividends	HKD5/RMB5 per board lot*, min. HKD30/RMB30 and max. HKD200/RMB200
Registration with Registrar	
• Registration fee • Transfer fee	- HKD1.5 per board lot* - HKD2.5 per share certificate*
Corporate Action Scrip Fee (collected by CCASS)	- HKD1.5 per board lot*
Conversion of Covered Warrant (collected by CCASS)	HKD200 per transaction
Corporate Action Service Fee (collected by CCASS)	HKD0.8 per board lot*
Financing and Other Services	
Margin Trading	
• Annual Fee • Financing Interest Rate (p.a.)	- NIL - Prime rate
Stock Withdrawal Fee (applicable to both physical scrip withdrawal and stock transfer through CCASS)	<u>For Cash Trading and Margin Trading Account</u> HKD10/RMB10 per board lot*, min. HKD100/RMB100 per stock plus physical withdrawal from CCASS charge (HKD3.5 per board lot) <u>For Securities Accounts Designated for Collateral Custody Purposes Only</u> HKD200/RMB200 per stock plus physical withdrawal from CCASS charge (HKD3.5 per board lot)
Dividend Claim	- HKD200 per claim
Conversion of Rights/Warrant Handling Fee	- NIL

SERVICES	Fees
SECURITIES TRADING SERVICES (Shanghai and Shenzhen Northbound Trading)	
Trade-related Services	
Brokerage †	
• Through automated channels* ‡ † ‡ For personal and commercial account customers (except PRIVATE WEALTH) ‡ For PRIVATE WEALTH customers ‡ For corporate account customers • Through manned hotline or branches † ‡ For Package d Banking customers - PRIVATE WEALTH customers - PREMIER BANKING customers - Golden Years Banking customers - PREMIER BUSINESS customers - WISE BUSINESS customers - WISE BANKING customers ‡ For personal, commercial and corporate account customers	- 0.18% of transaction amount, min. RMB83 - 0.10% of transaction amount, min. RMB83 - 0.25% of transaction amount, min. RMB83 - 0.18% of transaction amount, min. RMB83 - 0.18% of transaction amount, min. RMB83 - 0.18% of transaction amount, min. RMB83 - 0.25% of transaction amount, min. RMB83 - 0.25% of transaction amount, min. RMB83 - 0.25% of transaction amount, min. RMB83
Deposit of Stocks (Purchase transaction)	- NIL
Handling Fee (charged by Shanghai Stock Exchange and Shenzhen Stock Exchange)	- 0.00341% of the consideration of each transaction
Securities Management Fee (charged by China Securities Regulatory Commission)	- 0.002% of the consideration of each transaction
Transfer Fee (charged by ChinaClear)	- 0.001% of the consideration of each transaction
Transfer Fee (charged by HKSCC)	- 0.002% of the consideration of each transaction
Stamp Duty (applicable to sell transaction) (charged by State Taxation Administration)	- 0.05% of transaction amount
Scrip Handling Services	
Deposit of Stocks (applicable to stock deposit by settlement instruction)	RMB5 per board lot*, min. RMB30 and max. RMB200
Stock Withdrawal Fee (applicable to stock transfer out by settlement instruction)	RMB200 per stock
Nominees Services and Corporate Actions	
Privatisation / Cash Offer / Collection of Dividend (Collection of Dividend Fee also applicable to Investors who opt for shares in lieu of cash dividend)	- 0.5% of transaction/dividend amount, min. RMB30 and max. RMB2,000 per stock
Share Bonus Issues, Rights/Open Offer Subscription, Warrants or Scrips in lieu of Cash Dividends	- RMB5 per board lot*, min. RMB30 and max. RMB200

SERVICES	Fees
SECURITIES TRADING SERVICES (Information Services)	
Real-time Quote Services (per month) †	Shared by Online Banking/Mobile Banking
• Securities customers • Securities customers with holdings in Securities accounts • Securities customers with monthly transaction amount less than HKD100,000 • Securities customers with monthly transaction amount HKD100,000 or above	- 200 free quotes - 350 free quotes - 700 free quotes - 3,000 free quotes
Real-time Quote Services (per month) †	FortuneLink Mobile App
• Securities customers • Securities customers with holdings in Securities accounts • Securities customers with monthly transaction amount less than HKD100,000 • Securities customers with monthly transaction amount HKD100,000 or above	- 200 free quotes - 350 free quotes - 700 free quotes - 3,000 free quotes
Streaming Quote Services (per month) †	
• Online Banking Quote Winner + • AASocks Financial News • FortuneLink Mobile App	- HKD380 - HKD200

- Automated channels include Online Banking, Mobile Banking and FortuneLink Mobile App.
 † Shenzhen Northbound Trading can only trade via manned hotline.
 ‡ Online Brokerage commission will be applied on all Shenzhen Northbound Trading transactions through manned hotline until further notice.
 † Odd lots is treated as one board lot in the calculation of fees and charges.
 † Buying/selling of identical stock using the same type of trading channel within the same trading day will be counted as one transaction charging commission once. However, buying/selling of identical stock through different trading channels will be counted as separate transactions and brokerage will be charged for each transaction.
 * Stock transfer request between HKD and RMB counters is not available through our automated channels. Please call our Securities Trading Hotline for transfer. Key notes for dual counter securities are stipulated below for your attention.
 1. Only settled stock holding can be transferred between HKD and RMB counters.
 2. Our service hours for accepting stock transfer request between HKD and RMB counters will be started from 9:00 a.m. to 3:30 p.m. on each normal trading day (except Black Rainstorm Warning and/or Typhoon Signal No.8 or above is hoisted). Please be reminded that it takes time to process the stock transfer requests between counters, customer are therefore advised to allow sufficient time for stock transfers between counters before the stocks are available for sale in relevant counter.
 † Real-time Quote Services are shared by HK Securities and Shanghai Northbound Trading.
 ‡ Streaming Quote Services are available for HK Securities only.

Remark:
For charges not listed above, please contact our branches for details.
All out-of-pocket expenses including correspondent bank charges will be charged back to the customer's account accordingly.
All fees and charges (including but not limited to Dividend Tax and Capital Gain Tax for Shanghai and Shenzhen Northbound Trading) collected by regulators/authorities are subject to change from time to time and without prior notice.

服務項目	收費
證券買賣服務 (資訊服務)	
即時報價服務 (每月)[ⓐ]	網上銀行 / 手機銀行
<ul style="list-style-type: none"> 一般證券買賣客戶 有存放證券之證券買賣客戶 證券交易金額少於十萬港元以下之證券買賣客戶 證券交易金額於十萬港元或以上之證券買賣客戶 	<ul style="list-style-type: none"> - 200次免費即時報價 - 350次免費即時報價 - 700次免費即時報價 - 3,000次免費即時報價
即時報價服務 (每月)[ⓐ]	智富通手機應用程式
<ul style="list-style-type: none"> 一般證券買賣客戶 有存放證券之證券買賣客戶 證券交易金額少於十萬港元以下之證券買賣客戶 證券交易金額於十萬港元或以上之證券買賣客戶 	<ul style="list-style-type: none"> - 200次免費即時報價 - 350次免費即時報價 - 700次免費即時報價 - 3,000次免費即時報價
串流股票報價服務 (每月)[ⓐ]	
<ul style="list-style-type: none"> 網上銀行股勝通 + 阿思達克財經新聞 智富通手機應用程式 	<ul style="list-style-type: none"> - 380港元 - 200港元

• 自動化交易渠道包括「網上銀行」、「手機銀行」及智富通手機應用程式。
 ⓐ 深股通只可透過專人接聽熱線交易。
 ⓑ 由即日起至另行通知，所有透過專人接聽熱線買賣之深股通交易會以自動化交易渠道之經紀佣金計算。
 # 不足一手亦以一手計算。
 † 透過相同交易渠道於同一交易日内買入/賣出同一隻股票，將當作一次買入/賣出的交易計算經紀佣金，並收取交易佣金一次，惟經不同交易渠道買/賣相同股票，經紀佣金將每次分開計算及個別收費。
 * 敬請注意，本行自動化交易渠道並不接受港元與人民幣權權間之股票調撥指示，客戶必須通過證券買賣熱線進行相關指示，並請留意下列事宜：
 1. 只有已結算之股票方可進行港元與人民幣跨權權之股票調撥。
 2. 本行接受港元與人民幣跨權權股票調撥指示之服務時間為正常交易日早上 9 時至下午 3 時 30 分止(黑色暴雨警告及/或八號或以上颱風信號生效時除外)。請注意港元與人民幣跨權權之股票調撥指示處理需時。因此，為確保客戶持有相關權權之股票作沽售，建議客戶必需預留足夠時間處理港元與人民幣跨權權之股票調撥指示。
 ⓐ 即時報價服務由香港證券及滬股通共用。
 ⓑ 串流報價服務只適用於香港證券。

註：收費未能盡錄，詳情請向分行查詢。一切代客戶支付之費用，包括海外銀行之收費，將會於客戶戶口內扣除。由監管及稅務部門收取的費用(包括但不限於滬股通及深股通收取的紅利稅及資本增值稅)將會不時更改，本行將不會另行通知。

服務項目	收費
證券買賣服務 (滬股通及深股通)	
證券交易服務	
買賣經紀佣金[†]	
<ul style="list-style-type: none"> 透過自動化交易渠道^{ⓐⓑ} <ul style="list-style-type: none"> 個人及商業客戶(「私人財富」客戶外) 「私人財富」客戶 公司客戶 透過專人接聽熱線或分行櫃位[ⓐ] <ul style="list-style-type: none"> 綜合理財客戶 <ul style="list-style-type: none"> 「私人財富」客戶 「貴賓理財」客戶 「樂年理財」客戶 「尊尚盈商」客戶 「智選盈商」客戶 「智選理財」客戶 個人、商業及公司客戶 	<ul style="list-style-type: none"> - 交易金額之0.18%，最低收費為83元人民幣 - 交易金額之0.10%，最低收費為83元人民幣 - 交易金額之0.25%，最低收費為83元人民幣 - 交易金額之0.18%，最低收費為83元人民幣 - 交易金額之0.18%，最低收費為83元人民幣 - 交易金額之0.25%，最低收費為83元人民幣 - 交易金額之0.25%，最低收費為83元人民幣 - 交易金額之0.25%，最低收費為83元人民幣 - 交易金額之0.25%，最低收費為83元人民幣
買入股票之存入費	免收費用
經手費 (由上海交易所及深圳交易所收取)	- 交易金額之0.00341%
證管費 (由中國證監會收取)	- 交易金額之0.002%
過戶費 (由中國結算收取)	- 交易金額之0.001%
過戶費 (由香港結算收取)	- 交易金額之0.002%
交易印花稅 (只適用於賣出交易) (由國家稅務總局收取)	- 賣貨交易金額之0.05%
股票處理服務	
存入股票 (適用於透過交收指示存入股票)	每手 [‡] 均收5元人民幣，最低收費為30元人民幣，最高收費為200元人民幣
股票提取費 (適用於透過交收指示過戶)	200元人民幣(以單一股票計算)
代理人服務及企業行動	
公司私有化 / 現金收購 / 代收股息 (代收股息費用也適用於投資者選擇以收取股票代替現金股息)	- 交易金額 / 股息總值之0.5%，最低收費為30元人民幣，最高收費為2,000元人民幣(以單一股票計算)
代收紅股股權、供股權 / 公開發售認購，以股份代替現金股息及認股權證	- 每手 [‡] 均收5元人民幣，最低收費為30元人民幣，最高收費為200元人民幣

服務項目	收費
存入股票	適用於透過交收指示存入股票 免收費用 適用於實物股票存入 每手 [‡] 均收5港元/5元人民幣，最低收費為30港元/30元人民幣，最高收費為200港元/200元人民幣
處理實物及交收的服務	
交收指示費	免收費用
轉手紙厘印費	每張轉手紙5港元
跨權權股票之調撥收費*	免收費用
賬戶服務	
股票保管費 (適用於現金買賣戶口及孖展買賣戶口)	- 年費120港元，於每年5月31日收取(只適用於股票戶口在5月31日仍有存貨。若5月31日為星期六或公眾假期，本行會於前一個工作日於閣下的結算戶口扣除此費用)
代理人服務及企業行動	
公司私有化 / 現金收購 / 代收股息 (代收股息費用也適用於投資者選擇以收取股票代替現金股息)	交易金額 / 股息總值之0.5%，最低收費為30港元 / 30元人民幣，最高收費為2,000港元 / 2,000元人民幣(以單一股票計算)
代收紅股股權、供股權 / 公開發售認購，以股份代替現金股息及認股權證	每手 [‡] 均收5港元 / 5元人民幣，最低收費為30港元 / 30元人民幣，最高收費為200港元 / 200元人民幣
股票登記 • 登記費 • 股票過戶費	- 每手 [‡] 收取1.5港元 - 每張新發股票 [‡] 2.5港元
代理行權買賣股票登記費	- 每手 [‡] 收取1.5港元 (由CCASS收取)
行使備兌認股證 (由CCASS收取)	每一交易200港元
公司行動服務費 (由CCASS收取)	每手 [‡] 收取0.8港元
借貸及其他服務	
孖展買賣 • 年費 • 貸款息率(年利率)	- 免收費用 - 最優惠利率
股票提取費 (適用於實物股票提取及股票透過CCASS過戶)	現金證券及孖展證券戶口 每手 [‡] 均收10港元 / 10元人民幣，最低收費為100港元 / 100元人民幣(以單一股票計算) 另加CCASS實物股票提取費用(每手3.5港元) 只適用於股票戶口用作抵押貸款用途 200港元 / 200元人民幣(以單一股票計算) 另加CCASS實物股票提取費用(每手3.5港元)
辦理未領取之股息	每宗200港元
行使紅股股權 / 認股權證手續費	免收費用

中國建設銀行(亞洲)股份有限公司 證券買賣服務收費表

2024年1月1日生效

服務項目	收費
證券買賣服務 (香港證券)	
證券交易服務	
買賣經紀佣金[†]	
<ul style="list-style-type: none"> 透過自動化交易渠道[ⓐ] <ul style="list-style-type: none"> 個人及商業客戶(「私人財富」客戶外) 「私人財富」客戶 公司客戶 透過專人接聽熱線 <ul style="list-style-type: none"> 綜合理財客戶 <ul style="list-style-type: none"> 「私人財富」客戶 「貴賓理財」客戶 「樂年理財」客戶 「尊尚盈商」客戶 「智選盈商」客戶 「智選理財」客戶 「證券孖展買賣服務」客戶 個人、商業及公司客戶 	<ul style="list-style-type: none"> - 交易金額之0.18%，最低收費為100港元/83元人民幣 - 交易金額之0.10%，最低收費為100港元/83元人民幣 - 交易金額之0.25%，最低收費為100港元/83元人民幣 - 交易金額之0.18%，最低收費為100港元/83元人民幣 - 交易金額之0.18%，最低收費為100港元/83元人民幣 - 交易金額之0.25%，最低收費為100港元/83元人民幣 - 交易金額之0.25%，最低收費為100港元/83元人民幣 - 交易金額之0.25%，最低收費為100港元/83元人民幣 - 交易金額之0.25%，最低收費為100港元/83元人民幣 - 交易金額之0.25%，最低收費為100港元/83元人民幣
買入股票之存入費	- 免收費用
認購新股 • 買賣經紀佣金 • 透過自動化交易渠道 [ⓐ] 或專人接聽熱線認購新股申請費 - 「私人財富」客戶 - 其他客戶 • 存入股票	- 交易金額之1% - 免收費用 - 每份50港元 / 50元人民幣(不還還) - 免收費用
證券月供投資計劃手續費	劃一50港元 / 月供款金額 / 子計劃(已包括佣金，印花稅，證監會交易徵費，會財局交易徵費及交易費)
交易徵費 (由證券及期貨事務監察委員會 (證監會) 收取)	交易金額之0.0027%
交易徵費 (由會計及財務匯報局 (會財局) 收取)	交易金額之0.00015% (計至最接近的仙位數)
投資者賠償徵費 (由證監會收取如適用)	交易金額之0.002%
交易費 (由香港交易所收取)	交易金額之0.00565% (計至最接近的仙位數)
印花稅 (由香港特區政府收取)	交易金額之0.1% (不足一元亦作一元計)
處理實物及交收的服務	
CCASS股票交收費	免收費用
款項交收費 (由CCASS收取)	免收費用

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