

**China Construction bank Corporation Macau Branch**  
**SCHEDULE OF SERVICE FEES FOR SECURITIES TRADING SERVICES**  
 Effective Date: Jul 10, 2017

SERVICES	Fees
<b>SECURITIES TRADING SERVICES (HK Securities)</b>	
<b>Trade-related Services</b>	
<b>Brokerage<sup>†</sup></b> <ul style="list-style-type: none"> <li>Through automated channels<sup>■</sup></li> <li>Through manned hotline                             <ul style="list-style-type: none"> <li>for Golden Years Banking or Premier Banking customers</li> <li>For other account customers</li> </ul> </li> </ul>	<ul style="list-style-type: none"> <li>- 0.18% flat, min. HKD100</li> <li>- 0.18% flat, min. HKD100</li> <li>- 0.25% flat, min. HKD100</li> </ul>
<b>Subscription of IPO</b> <ul style="list-style-type: none"> <li>Brokerage</li> <li>New Issues handling fee</li> </ul>	<ul style="list-style-type: none"> <li>- 1% of transaction amount</li> <li>- HKD50 per application (non-refundable)</li> </ul>
<b>Transaction Levy (collected by HKSFC)</b>	0.0027% of transaction amount
<b>Investor compensation Levy (collected by HKSFC if applicable)</b>	0.002% if transaction amount
<b>Trading Fee (collected by HKEx)</b>	0.005% of transaction amount
<b>Stamp Duty (collected by HKSAR Gov't)</b>	HKD1 for every HKD1,000 of transaction amount (or part thereof)
<b>Scrip Handling and Settlement-related Services</b>	
<b>Stock Settlement Fees for CCASS</b>	NIL
<b>Money Settlement Fee (collected by CCASS)</b>	NIL
<b>Deposit of Stocks</b> <ul style="list-style-type: none"> <li>For stock deposit by settlement instruction</li> <li>For physical scrip deposit</li> </ul>	<ul style="list-style-type: none"> <li>- NIL</li> <li>- HKD5 per board lot<sup>#</sup>, min. HKD30 and max. HKD200</li> </ul>
<b>Settlement Instruction Fee</b>	NIL

SERVICES	Fees
<b>Transfer Deed Stamp Duty</b>	HKD5 each transfer deed
<b>Information Services</b>	
<b>Real-time Quote Services (per month)</b> <ul style="list-style-type: none"> <li>Securities Customers</li> <li>Securities Customers with holdings in securities accounts</li> <li>Securities Customers with monthly transaction amount less than HKD100,000</li> <li>Securities Customers with monthly transaction amount HKD100,000 or above</li> </ul>	<ul style="list-style-type: none"> <li>- 200 free quotes</li> <li>- 500 free quotes</li> <li>- 1,000 free quotes</li> <li>- 5,000 free quotes</li> </ul>
<b>Account Maintenance</b>	
<b>Safe Custody Fee (applicable to both Cash Trading account and Margin Trading account)</b>	HKD120 paid annually on May 31 (only applicable to the securities account with stock holdings on May 31. If May 31 falls on Saturday or Public Holiday, the Bank will debit the fee from your settlement account on the preceding business day)
<b>Nominee Services and Corporate Actions</b>	
<b>Privatisation / Cash Offer / Collection of Dividend (Collection of Dividend Fee also applicable to investors who opt for shares in lieu of cash dividend)</b>	0.5% of transaction / dividend amount, min. HKD30 and max. HKD2,000 per stock
<b>Share Bonus Issues, Right Issues, Warrants or Scrips in Lieu of Cash Dividends</b>	HKD5 per board lot <sup>#</sup> , min. HKD30 and max. HKD200
<b>Registration with Registrar</b> <ul style="list-style-type: none"> <li>Registration fee</li> <li>Transfer fee</li> </ul>	<ul style="list-style-type: none"> <li>- HKD1.5 per board lot<sup>#</sup></li> <li>- HKD2.5 per share certificate<sup>#</sup></li> </ul>

SERVICES	Fees
<b>Corporate Action Scrip Fee</b>	- HKD1.5 per board lot <sup>#</sup> (collected by CCASS)
<b>Conversion of Covered Warrant (collected by CCASS)</b>	HKD200 per transaction
<b>Corporate Action Service Fee (collected by CCASS)</b>	HKD0.8 per board lot <sup>#</sup>
<b>Financing and Other Services</b>	
<b>Margin Trading</b> <ul style="list-style-type: none"> <li>Annual Fee</li> <li>Financing Charge (p.a.)</li> </ul>	<ul style="list-style-type: none"> <li>- NIL</li> <li>- Prime rate</li> </ul>
<b>Stock Withdrawal Fee (applicable to both physical scrip withdrawal and stock transfer through CCASS)</b>	HKD10 per board lot <sup>#</sup> , min. HKD100 per stock plus physical withdrawal from CCASS charge (HKD3.5 per board lot)
<b>Dividend Claim</b>	HKD200 per claim
<b>Conversion of Rights/ Warrant Handling Fee</b>	NIL

<sup>†</sup> Buying/ selling of identical stock using the same type of trading channel within the same trading day will be counted as one transaction charging commission once. However, buying/ selling of identical stock through different trading channels will be counted as separate transactions and brokerage will be charged for each transaction.

<sup>■</sup> Automated channels include Online Banking and Mobile Banking.

<sup>#</sup> Odd lots is treated as one board lot in the calculation of fees and charges.

# 中國建設銀行股份有限公司澳門分行

## 證券買賣服務收費表

二零一七年七月十日生效

服務項目	收費
<b>證券買賣服務 (香港證券)</b>	
<b>證券交易服務</b>	
<b>買賣經紀佣金<sup>†</sup></b>	
<ul style="list-style-type: none"> <li>透過自動化交易渠道<sup>■</sup></li> <li>透過專人接聽熱線                             <ul style="list-style-type: none"> <li>「樂年理財」或</li> <li>「貴賓理財」</li> </ul> </li> <li>本行其他客戶</li> </ul>	<ul style="list-style-type: none"> <li>交易金額之 0.18%，最低收費為 100 港元</li> <li>交易金額之 0.18%，最低收費為 100 港元</li> <li>交易金額之 0.25%，最低收費為 100 港元</li> </ul>
<b>認購新股</b>	
<ul style="list-style-type: none"> <li>買賣經紀佣金</li> <li>認購新股申請費</li> </ul>	<ul style="list-style-type: none"> <li>交金額之 1%</li> <li>每份 50 港元(不退還)</li> </ul>
<b>交易徵費(由香港證監會收取)</b>	交易金額之 0.0027%
<b>投資者賠償徵費(由香港證監會收取，如適用)</b>	交易金額之 0.002%
<b>交易費(由香港交易所收取)</b>	交易金額之 0.005%
<b>印花稅(由香港特區政府收取)</b>	每 1,000 港元繳付 1 港元，不足千元之數亦作千元計
<b>處理實物及交收的服務</b>	
<b>CCASS 股票交收費</b>	免收費用
<b>款項交收費(由 CCASS 收取)</b>	免收費用
<b>存入股票</b>	
<ul style="list-style-type: none"> <li>適用於透過交易指示存入股票</li> <li>適用於實物股票存入</li> </ul>	<ul style="list-style-type: none"> <li>免收費用</li> <li>每手<sup>#</sup>均收 5 港元，最低收費為 30 港元，最高收費為 200 港元</li> </ul>
<b>交收指示費</b>	免收費用
<b>轉手紙厘印費</b>	每張轉手紙 5 港元

服務項目	收費
<b>資訊服務</b>	
<b>即時報價服務(每月)</b>	
<ul style="list-style-type: none"> <li>一般證券買賣客戶</li> <li>有存放證券之證券買賣客戶</li> <li>證券交易金額少於十萬港元或以下之證券買賣客戶</li> <li>證券交易金額於十萬港元或以上之證券買賣客戶</li> </ul>	<ul style="list-style-type: none"> <li>- 200 次免費即時報價</li> <li>- 500 次免費即時報價</li> <li>- 1,000 次免費即時報價</li> <li>- 5,000 次免費即時報價</li> </ul>
<b>賬戶服務</b>	
<b>股票保管費(適用於現金買賣戶口及孖展買賣戶口)</b>	年費 120 港元，於每年 5 月 31 日收取(只適用於股票戶口在 5 月 31 日仍有存貨。若 5 月 31 日為星期六或公眾假期，本行會於前一個工作日於閣下的結算戶口扣除此費用)
<b>代理人服務及企業行動</b>	
<b>公司私有化/現金收購/代收股息(代收股息費用也適用於投資者選擇以收取股票代替現金股息)</b>	交易金額/股息總值之 0.5%，最低收費為 30 港元，最高收費 2,000 港元
<b>代收紅股股權、以股份代替現金股息及認股權證</b>	每手 <sup>#</sup> 均收 5 港元，最低收費為 30 港元，最高收費為 200 港元
<b>股票登記</b>	
<ul style="list-style-type: none"> <li>登記費</li> <li>股票過戶費</li> </ul>	<ul style="list-style-type: none"> <li>- 每手<sup>#</sup>收取 1.5 港元</li> <li>- 每張新發股票<sup>#</sup>2.5 港元</li> </ul>
<b>代理行權實股票登記費</b>	- 每手 <sup>#</sup> 收取 1.5 港元(由 CCASS 收取)
<b>行使備兌認股證(由 CCASS 收取)</b>	每一交易 200 港元

服務項目	收費
<b>公司行動服務費(由 CCASS 收取)</b>	每手 <sup>#</sup> 收取 0.8 港元
<b>借貸及其他服務</b>	
<b>孖展買賣</b>	
<ul style="list-style-type: none"> <li>年費</li> <li>貸款年利率</li> </ul>	<ul style="list-style-type: none"> <li>- 免收費用</li> <li>- 最優惠利率</li> </ul>
<b>股票提取費(適用於實物股票提取及股票透過 CCASS 過戶)</b>	每手 <sup>#</sup> 均收 10 港元，最低收費為 100 港元(以單一股票算)另加 CCASS 提取費用(每手 3.5 港元)
<b>辦理未領取之股息</b>	每宗 200 港元
<b>行使紅股股權/認股權證手續費</b>	免收費用

<sup>†</sup> 透過相同交易渠道於同一交易日內買入/賣出同一隻股票，將當作為一次買入/賣出的交易計算經紀佣金，並收取交易佣金一次，惟經不同交易渠道買/賣相同股票，經紀佣金將每次分開計算及個別收費。

<sup>■</sup> 自動化交易渠道包括「網上銀行」和「流動理財」。

<sup>#</sup> 不足一手亦以一手計。